

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
CF-84APPLICATION
NO.
Not Yet KnownINFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANTS
Joseph M. Asher and
Howard W. LutnickCONFIRMATION
NO.
Not Yet KnownFILING DATE
HerewithGROUP
Not Yet Known

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>MS</i>	6,243,691	06/05/2001	Fisher et al.	705	37	
<i>MS</i>	6,266,652	07/24/2001	Godin et al.	705	37	
<i>MS</i>	6,415,269	07/02/2002	Dinwoodie	705	37	
<i>MS</i>	6,449,601	09/10/2002	Friedland et al.	705	37	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLAS S	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
<i>MS</i>	eBay, eBay Inc., at http://www.ebay.com (printed July 28, 2003)
<i>MS</i>	Bidz, Bidz, Inc., at http://web-1.bidz.com (printed July 28, 2003)
<i>MS</i>	Yahoo! Auctions, Yahoo! Inc., at http://auctions.shopping.yahoo.com (printed July 28, 2003)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

Notice of References Cited	Application/Control No. 10/629,128		Applicant(s)/Patent Under Reexamination ASHER ET AL	
	Examiner Naeem Haq		Art Unit 3625	Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-2002/0128952	09-2002	Melkornian et al.	705/37
*	B	US-6,134,548	10-2000	Gottzman et al.	707/5
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

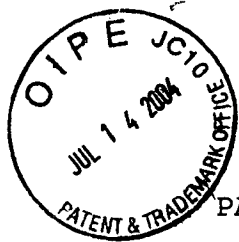
FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Joseph M. Asher and Howard W. Lutnick
Application No.: 10/629,128 Confirmation No.:5903
Filed : July 28, 2003
For : SYSTEMS AND METHODS FOR AUTOMATED
INTERNET-BASED AUCTIONS
Group Art Unit : 3621

New York, New York
July 12, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, V.A. 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith is a second Supplemental Information Disclosure Statement in the above-identified application. This Statement is submitted:

- ☐ within three months of the application filing date;
- ☒ more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge

payment of any fees required in connection with this second Supplemental Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



Hassan Albakri
(Limited Recognition)
Agent for Applicants
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1105
Tel.: (212) 596-9000

I hereby certify that this
Correspondence is being
deposited with the U.S.
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Commissioner for Detents
P.O. Box 1450
Alexandria, VA 22313-1450 on

July 12, 2004
Claire J. Zivvy-Goodman

Signature of Person Signing

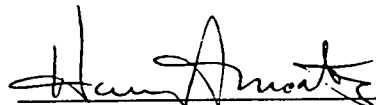
**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATE PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

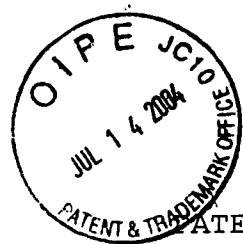
Hassan Albakri is hereby given limited recognition under 37 CFR § 10.9(b), as an employee of the law firm of Fish & Neave, to prepare and prosecute patent applications wherein the patent applicant is a client of the law firm of Fish & Neave, and a registered practitioner, who is a member of the law firm of Fish & Neave, is the practitioner of record in the applications. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Hassan Albakri ceases to lawfully reside in the United States, (ii) Hassan Albakri's employment with the law firm of Fish & Neave, ceases or is terminated, or (iii) Hassan Albakri ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such limited recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: December 6, 2004



Harry I. Moatz
Director of Enrollment and Discipline



CF-84

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Joseph M. Asher and Howard W. Lutnick
Application No.: 10/629,128 Confirmation No.:5903
Filed : July 28, 2003
For : SYSTEMS AND METHODS FOR AUTOMATED
INTERNET-BASED AUCTIONS
Group Art Unit : 3621
Commissioner for Patents
P.O. Box 1450
Alexandria, V.A. 22313-1450

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following document:

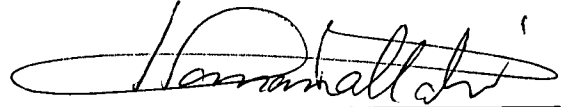
U.S. PATENT DOCUMENT

Nassiri 2002/0116320 A1 Aug. 22, 2002

A copy of the aforementioned document, which is listed
on the accompanying Form PTO-1449 (submitted in duplicate), is
enclosed herewith. It is respectfully requested that this
document be (1) fully considered by the Patent and Trademark
Office during examination of this application; and (2) printed on
any patent which may issue on this application.

Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read 'Hassan Albakri', is written over a horizontal line.

Hassan Albakri
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Agent for Applicants
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New York, New York 10020-1105
Tel.: (212) 596-9000

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO. CF-84	APPLICATION NO. 10/629,128
	APPLICANTS Joseph M. Asher and Howard W. Lutnick	CONFIRMATION NO. 5903
	FILING DATE July 28, 2003	GROUP 3621

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2002/0116320 A1	08/22/2002	Nassiri	705	37	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

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CF-84

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT APPLICATION

Applicants : Joseph M. Asher and Howard W. Lutnick
Application No.: 10/629,128
Filed : July 28, 2003
For : SYSTEMS AND METHODS FOR AUTOMATED
INTERNET-BASED AUCTIONS

Commissioner for Patents
P.O. Box 1450
Alexandria, V.A. 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following reference:

U.S. PATENT

Fisher et al.	5,835,896	Nov. 10, 1998
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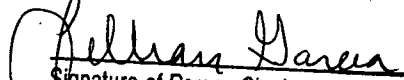


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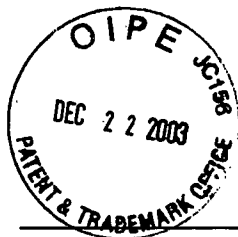
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450 on

December 18, 2003

Lillian Garcia


Signature of Person Signing

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Joseph M. Asher and Howard W. Lutnick
Application No.: Not Yet Known
Filed : Herewith
For : SYSTEMS AND METHODS FOR AUTOMATED
INTERNET-BASED AUCTIONS

Mail Stop Patent Application
Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, V.A. 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
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U.S. PATENTS

Fisher et al.	6,243,691	Jun. 05, 2001
Godin et al.	6,266,652	Jul. 24, 2001
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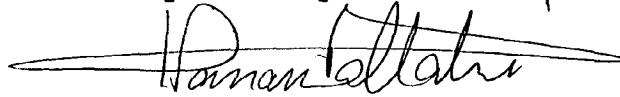
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